

# FORMALITIES LETTER



\*OC000000005195219\*



## UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/552,252	04/18/2000	Peggy G. Lemaux	5830-3

000826  
ALSTON & BIRD LLP  
P O DRAWER 34009  
CHARLOTTE, NC 28234-4009

Date Mailed: 06/22/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$378.  
■ \$378 for 21 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1198.**

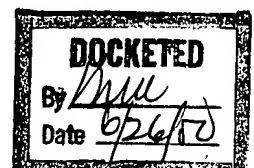
*A copy of this notice **MUST** be returned with the reply.*

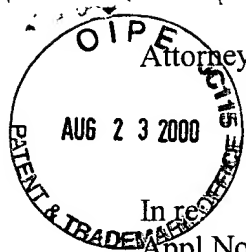
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

08/24/2000 WKOROMA 00000075 09552252

01 FC:101	690.00 OP
02 FC:105	130.00 OP
03 FC:103	378.00 OP





Attorney's Docket No. 5830-3

SECTOR  
\$  
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Lemaux et al.  
Appl No.: 09/552,252  
Filed: April 18, 2000  
For: COMPOSITIONS AND METHODS FOR PLANT  
TRANSFORMATION REGENERATION

#3

August 21, 2000

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, DC 20231

**RESPONSE TO NOTICE TO  
FILE MISSING PARTS OF APPLICATION**

Sir:

In response to the Notice to File Missing Parts of Application dated June 22, 2000,  
enclosed are the following:

- ☒ Part 2 of Formalities Letter or Form PTO-1533  
(Notice to File Missing Parts of Application)
- ☒ Declaration and Power of Attorney for the above-identified application which has been  
executed by the named inventor(s)
- ☐ Executed Statement Claiming Small Entity Status
- ☒ Check in the amount of \$1198.00 to cover the filing fee of \$690.00 and the \$130.00  
surcharge, and \$378.00 claims fee under 37 C.F.R. § 1.16(e)
- ☐ Other:

Any additional fee or credit may be charged to our Deposit Account No. 16-0605.

Respectfully submitted,

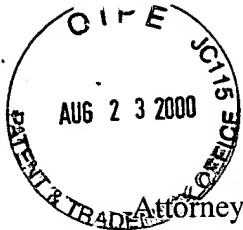
W. Murray Spruill  
Registration No. 32,943

**ALSTON & BIRD LLP**  
Post Office Drawer 34009  
Charlotte, NC 28234-4009  
Tel Raleigh Office (919) 420-2200  
Fax Raleigh Office (919) 420-2260

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in  
an envelope addressed to: **Box Missing Parts**, Assistant Commissioner For Patents, Washington, DC 20231, on  
August 21, 2000.

Sheree T. Edington



Attorney's Docket No. 5830-3

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Lemaux et al.  
Appl No.: 09/552,252  
Filed: April 18, 2000  
For: COMPOSITIONS AND METHODS FOR PLANT TRANSFORMATION  
REGENERATION

August 21, 2000

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, DC 20231

**SUBMITTAL OF DECLARATION  
UNDER 37 C.F.R. § 1.63**

Sir:

Enclosed is a Declaration and Power of Attorney for the above-identified patent application that has been executed by the named inventors.

A check in the amount of \$130 is also enclosed to cover the surcharge under 37 C.F.R. § 1.16(e). Any additional fee or credit may be charged to our Deposit Account No. 16-0605.

Respectfully submitted,

W. Murray Spruill  
Registration No. 32,943

**ALSTON & BIRD LLP**  
Post Office Drawer 34009  
Charlotte, NC 28234-4009  
Tel Raleigh Office (919) 420-2200  
Fax Raleigh Office (919) 420-2260

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner For Patents, Washington, DC 20231, on August 21, 2000.

Sheree T. Edington  
RTA01/2082099v1